

FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/527,844	03/17/2000	Timothy J. Barberich	4821-334-999

20582
 PENNIE & EDMONDS LLP
 1667 K STREET NW
 SUITE 1000
 WASHINGTON, DC 20006



Date Mailed: 05/22/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$18.
 ■ \$684 for 38 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 148.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: BARBERICH et al.

Serial No.: 09/527,844

Filed: March 17, 2000

For: METHOD AND COMPOSITIONS
FOR THE TREATMENT OF
NEUROLEPTIC AND RELATED
DISORDERS USING
ZIPRASIDONE METABOLITES



Group Art Unit: Unassigned

Examiner: Unassigned

Attorney Docket No.: 4821-334

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231


Sir:

In response to the Notice to File Missing Parts of Application dated May 22, 2000, enclosed are copies of the Notice (Form PTO-1533) and the executed Declaration and Power of Attorney. Also enclosed herewith is a Transmittal of Verified Statement Claiming Small Entity Status.

It is believed that a fee in the amount of \$65.00 (small entity) is due for this submission. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date July 21, 2000


Max Bachrach 45,479
(Reg. No.)
PENNIE & EDMONDS LLP
1667 K Street, N.W.
Washington, D.C. 20006
(202) 496-4400

For: Anthony M. Insogna (Reg. No. 35,203)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: BARBERICH

Group Art Unit: Unassigned

Serial No.: 09/527,844

Examiner: To Be Assigned

Filed: March 17, 2000

Attorney Docket No.: 4821-334-999

For: METHOD AND COMPOSITIONS
FOR THE TREATMENT OF
NEUROLEPTIC AND RELATED
DISORDERS USING
ZIPRASIDONE METABOLITES

**TRANSMITTAL OF VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f) and 1.27(c)**

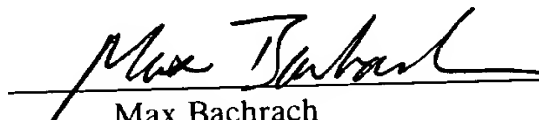
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Attorneys for applicants submit herewith a Verified Statement Claiming Small
Entity Status - Small Business Concern.

Respectfully submitted,

Date July 21, 2000


Max Bachrach 45,479
PENNIE & EDMONDS LLP (Reg. No.)
1667 K Street, N.W.
Washington, D.C. 20006
(202) 496-4400

For: Anthony M. Insogna (Reg. No. 35,203)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
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Enclosure